

Privacy Policy for Indie Financial Planning, LLC

At Indie Financial Planning, LLC, we recognize that our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us. Indie Financial Planning will not disclose your personal information to anyone unless it is required by law or at your direction. We will not sell your personal information. Indie Financial Planning will provide our privacy statement to all clients annually.

We want our clients to understand what information we collect, how we use it, and how we protect your personal information.

Why We Collect Your Information

We gather information about you so that we can:

- Help design and implement the investment and planning related services we provide you; and
- Comply with the Federal and State laws and regulations that govern us.

What Information We Collect and Maintain

In the normal course of business, we may collect the following types of “nonpublic personal information”:

- Information you provide in the subscription documents and other forms about your identity (including name, address, social security number, date of birth, income and other financial-related information)
- Data about your transactions with us (such as the types of investments you have made and your account status).

What Information We Disclose

We are permitted by law to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. For example, in order for us to provide planning or investment management services to you, we may disclose your personal information in limited circumstances to various service providers, such as our clearing firm or independent contractors hired by Indie Financial Planning. If the Financial Planner/Financial Adviser leaves Indie Financial Planning to join another firm, he or she may be permitted to retain copies of client information so that they can assist with the transfer of client accounts and continue to serve the client at their new firm.

“Opting-Out” of Third Party Disclosures: If you do not want your Financial Planner/Financial Adviser to retain copies of your client sensitive information when he or she leaves us to join another firm, you may contact us by calling 847-868-2252.

Otherwise, Indie Financial Planning will not disclose any personal information about you or your account(s) unless one of the following conditions is met:

- We receive your prior written consent; or
- We have documentation that the recipient is your authorized representative; or
- We are required by law to disclose information to the recipient



January 12, 2023

Arrangements with companies or independent contractors not affiliated with Indie Financial Planning will be subject to confidentiality agreements.

How We Protect Your Personal Information

We restrict access to nonpublic personal information about our clients to those employees and agents who need to know that information to provide products and services to our clients. We maintain physical, electronic and procedural safeguards to protect our clients' nonpublic personal information. We respect and value that you have entrusted us with your private financial information, and we will work diligently to maintain that trust. We are committed to preserving that trust by respecting your privacy as provided herein.

If you have any questions regarding this privacy notice, please contact the Chief Compliance Officer, Leslie Ransom at 847-868-2252.